

Please review the following checklist in order to expedite the process and/or reduce rejections in regards to the removal of a zero value/worthless security currently held in your account.

1. Each security that you wish to remove must have had a zero value/no price for a minimum of **1 year**.
2. Each security must be delisted, defunct, or bankrupt (please refer to definitions below). You can determine the company status by contacting the issuing company directly, or try to verify the status of the security through the following websites:
 - SEDAR Home page - www.sedar.com
 - TSX home page - www.tse.com
 - NASDAQ home page - www.nasdaq.com
 - Industry Canada - www.ic.gc.ca/app/scr/cc/CorporationsCanada/fdrlCrpSrch.html?locale=en_CA
3. Your signature on the "Authorization to remove a Worthless Security" will result in a permanent disposition of those listed securities and they will not be returned. It is suggested that you refer to a qualified tax advisor prior to initiating this request. The record of a worthless security removed from your account will show on your monthly statement and will be your evidence of disposition of the security at a zero value.
4. Please use the Authorization to Remove a Worthless Security to record which securities you wish to have permanently removed from your account.

For more information, please call Credential Direct at 1-877-742-2900.

Definitions:

Delisted - A security that has been removed from the exchange on which it traded and no longer trades on any market. The stock is removed from an exchange or market because the company for which the stock is issued, whether voluntarily or involuntarily, is not in compliance with the listing requirements of the exchange.

Defunct/Bankrupt - the company no longer operates, and has been dissolved.

