



Addendum to Trading Authorization for Unincorporated Groups

(Use this form when additional space is needed to list **all members** of a Partnership, Investment Club or Unincorporated Association)

Following is a complete and accurate listing of the members of _____, an unincorporated entity organized as a Partnership Investment Club Unincorporated Association. This addendum is current as of _____ (dd/mmm/yyyy).

There are a total of _____ members, and this addendum is _____ of _____ addendums. The undersigned members resolve to provide **Credential Direct**® with an updated form as changes to the membership occur.

Suitability Waiver

By marking the boxes under the heading "Suitability Waiver" below, you acknowledge that Credential Direct and its Registered Representatives will not give you investment advice or recommendations and will not be responsible for the determination of your general investment needs and objectives regarding the purchase or sale of any security. You acknowledge that Credential Direct and its Registered Representatives do not accept any responsibility to advise you on the suitability of any of your investment decisions or transactions. You acknowledge that you alone are responsible for the financial impact of your investment decisions. You understand that orders entered by you may be sent directly to the exchange or market without prior review by Credential Direct. You acknowledge your obligation to comply with the requirements regarding entry and trading of orders on the exchanges and markets where your orders are executed. However, Credential Direct reserves the right to review any of your transactions prior to entry on the exchange or market. You acknowledge that Credential Direct has the right to reject, change or remove any order entered by you or to cancel any trade resulting from an order entered by you. Without this consent Credential Direct will not be able to open this account.

Members (Individuals with a financial interest in the Unincorporated Group)

We, the undersigned members, hereby acknowledge and authorize the appointment of the individual(s) indicated on the form titled "Trading Authorization for Unincorporated Groups," to give any and all securities-related instructions to Credential Direct on our behalf.

Witness

Members of the Group*

X _____ **1.** _____ **X** _____
Signature Name of Member (Please Print) Signature

Member's Address

(1) _____ (2) _____ (3) _____
Application Identification: Provide (1) ID Type, (2) ID Issuer, and (3) ID Reference Number

SIN and SSN (if applicable) _____

Citizenship _____

Suitability Waiver

I Acknowledge

X _____ **2.** _____ **X** _____
Signature Name of Member (Please Print) Signature

Member's Address

(1) _____ (2) _____ (3) _____
Application Identification: Provide (1) ID Type, (2) ID Issuer, and (3) ID Reference Number

SIN and SSN (if applicable) _____

Citizenship _____

Suitability Waiver

I Acknowledge

X _____ **3.** _____ **X** _____
Signature Name of Member (Please Print) Signature

Member's Address

(1) _____ (2) _____ (3) _____
Application Identification: Provide (1) ID Type, (2) ID Issuer, and (3) ID Reference Number

SIN and SSN (if applicable) _____

Citizenship _____

Suitability Waiver

I Acknowledge

X _____ **4.** _____ **X** _____
Signature Name of Member (Please Print) Signature

Member's Address

(1) _____ (2) _____ (3) _____
Application Identification: Provide (1) ID Type, (2) ID Issuer, and (3) ID Reference Number

SIN and SSN (if applicable) _____

Citizenship _____

Suitability Waiver

I Acknowledge

*Members of the Group with greater than 10% interest **must also** complete a KYC Supplemental form.

If more than one KYC Supplemental Form is being completed for the account, this is page _____ of _____.

Account Holder Information:

Investor Name _____ Account Number _____

Complete this form if you are a: (Select ALL that apply to the above listed account)

- beneficial owner of more than 10% of a corporation, partnership, sole proprietorship, foundation, investment club, estate, association, charitable organization or similar entity
- beneficial owner/beneficiary of a formal or informal trust
- trustee and/or settlor of a formal or informal trust
- executor/trix of an estate account
- person who is the Attorney of a General Power of Attorney to an account
- person with trading authority to a personal account
- person designated as trading officer with a corporation, partnership, sole proprietorship, foundation, investment club, estate, association, charitable organization or similar entity.

Supplemental Person/Entity Information

First Name		Middle Name		Last Name	
Legal Entity Name			Entity Type of Business		Business Number
Beneficial Ownership %					
Apt/Suite	Street Address			City	Province
Postal Code	Country	Residential Phone		Alternative Phone	
Relationship to Account Holder			Date of Birth (dd/mmm/yyyy)		Citizenship ¹
Employer Name			Occupation		

¹Note: If U.S., complete IRS W-9 form

Identification: ID Type: _____ Issuer: _____ ID #: _____

Are you or a family member living in your household considered a PRO? No Yes² ²If Yes, please complete the following:

Name of PRO: _____ Employer: _____ Occupation: _____

²Note: Please provide a letter of authorization completed by the PRO's employer

Investment Information

Investment Experience (Tick all that Apply): Stocks Bonds Mutual Funds Options Commodities/Futures None

Investment Knowledge (Select One): Sophisticated Good/Average Limited Poor/Nil

Insider or Control Person Are you considered an "Insider" or "Control Person" of any public companies listed on a Canadian or U.S. exchange? Yes, complete table below No

Company Name	Ticker Symbol	Market	Insider/Director/Officer	Control Person
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>

Supplemental Person/Entity Authorization

By signing below, you hereby declare that the information provided above is full, true and complete. You also acknowledge that you have reviewed a copy of the Credential Direct Account Agreements and Disclosure Document booklet (available online) and agree to the terms therein. Credential Direct may rely on the information you have provided until you send us written notice of any changes.

X _____
Supplemental Person/Entity Signature Date (dd/mmm/yyyy) Existing Account # of Supplemental Person (if applicable)

How to Complete the Know-Your-Client Supplemental Form

The *Know Your Client* principle applies to all the individuals' names on the NAAF and any other individuals having control over or financial interest in the account. As such, full disclosure must be made of all persons that have trading authorization or beneficial/financial ownership on an account to satisfy KYC requirements. Use this form as supplemental information to accompany the New Account Application Form.

Account Holder Information

Enter the Account Holder Name and Account # of the account for which Supplemental Person/Entity Information is being added.

Supplemental Person/Entity Information

Legal Entity – enter the Legal Entity Name (and Business Number, if applicable) if the KYC Supplemental is being completed by an entity rather than an individual. In addition, entry fields such as *Investment Experience* and *Investment Knowledge* should be completed based on the 'entity' rather than an individual. Legal Entities may also be required to complete additional IRS Tax Treaty Documents.

Beneficial Ownership % – for beneficial owners, indicate the percentage of ownership you maintain.

Identification

Enter the identification information and attach a photocopy of a valid, original Driver's License, Passport or Permanent Resident Card.

Insider or Control Person

Regulations require Credential Direct to know if the Individual is considered an "Insider" or "Control Person" of a publicly traded company on any Canadian or US exchange.

Insider: an "Insider" is:

- An officer, director or promoter of a publicly traded Canadian or US company, and/or
- A person with direct or indirect beneficial ownership of, control or direction over (or combination thereof) 10% or more of the voting rights attached to the securities of a publicly traded company listed in Canada (5% or more for a publicly listed company in the U.S.)

Control: a "control person" holds or exercises control or direction over, or has any agreement, arrangement, commitment or understanding (whether or not in writing) individually or with any other persons with respect to 20% or more of the voting rights attached to the securities of a publicly traded company listed in Canada (10% or more for a publicly listed company in the U.S.)

Existing Account # of Supplemental Person – If the Supplemental Person/Entity has an account with Credential Direct, enter the account number.